

西班牙對外銀行臺北分行
防制洗錢及打擊資恐內部控制制度聲明書
BBVA Bank, Taipei Branch
Statement of AML/CFT Control

謹代表西班牙對外銀行臺北分行聲明本行於112年1月1日至112年12月31日確實遵循防制洗錢及打擊資恐相關法令，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報總行及區域中心。經審慎評估，本年度各單位防制洗錢及打擊資恐內部控制及法規遵循情形，除附表所列事項外，均能確實有效執行。

On behalf of BBVA Bank, Taipei Branch ("the Bank"), we hereby undertake that from January 1st to December 31st, 2023, the Bank has duly complied with the relevant regulations governing Anti-Money Laundering and Combating the Financing of Terrorism (AML/CFT) in establishing an internal control system, implementing risk management, designating an independent and objective internal audit unit to conduct audit, and submitting the audit report periodically to the Headquarter/Regional Office. After prudent evaluation, except for the items listed in the attached schedule, the Bank' s each department has implemented effective internal control and compliance systems during the year to which this statement relates.

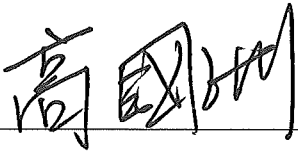
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金融監督管理委員會

The Statement is submitted to the Financial Supervisory Commission

聲明人

Statement by

在臺訴訟及非訴訟代理人：高國洲  (簽章)

Representative of litigious and non-litigious matters in Taiwan/Country Manager:

James Kao

負責臺灣區稽核業務主管：  (簽章)

Regional Audit Head in charge of auditing on Taipei Branch:

Pedro Alonso Rubio

防制洗錢及打擊資恐專責主管：潘廣斌 (簽章)



Head of AML/CFT Officer in Taipei Branch: David Pan

中 華 民 國 1 1 3 年 3 月 28 日

西班牙對外銀行臺北分行
防制洗錢及打擊資恐內部控制制度應加強事項及改善計畫
BBVA Bank, Taipei Branch
Enhancement Items and Improvement Plans for AML/CFT
Internal Control System
(基準日 : 112 年 12 月 31 日)
(Base Date: 31 December 2023)

應加強事項 Enhancement Items	改善措施 Improvement Plans	預定完成改善時間 Target Date
無/Nil	無/Nil	無/Nil