

西班牙對外銀行臺北分行  
防制洗錢及打擊資恐內部控制制度聲明書  
BBVA Bank, Taipei Branch  
Statement of AML/CFT Control

謹代表西班牙對外銀行臺北分行聲明本行於109年1月1日至109年12月31日確實遵循防制洗錢及打擊資恐相關法令，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報總行及區域中心。經審慎評估，本年度各單位防制洗錢及打擊資恐內部控制及法規遵循情形，除附表所列事項外，均能確實有效執行。

On behalf of BBVA Bank, Taipei Branch ( "the Bank" ), we hereby undertake that from January 1<sup>st</sup> to December 31<sup>st</sup>, 2020, the Bank has duly complied with the relevant regulations governing Anti-Money Laundering and Combating the Financing of Terrorism (AML/CFT) in establishing an internal control system, implementing risk management, designating an independent and objective internal audit unit to conduct audit, and submitting the audit report periodically to the Headquarter/Regional Office. After prudent evaluation, except for the items listed in the attached schedule, the Bank's each department has implemented effective internal control and compliance systems during the year to which this statement relates.


此致

金融監督管理委員會

The Statement is submitted to the Financial Supervisory Commission

聲明人

Statement by

在臺訴訟及非訴訟代理人：高國洲  (簽章)

Representative of litigious and non-litigious matters in Taiwan/Country

Manager: James Kao

負責臺灣區稽核業務主管：  (簽章)

Regional Audit lead in charge of auditing on Taipei Branch:

Pedro Alonso Rubio

防制洗錢及打擊資恐專責主管：潘廣斌  (簽章)

Head of AML/CFT Officer in Taipei Branch: David Pan

中 華 民 國 1 1 0 年 3 月 26 日

西班牙對外銀行臺北分行  
防制洗錢及打擊資恐內部控制制度應加強事項及改善計畫  
BBVA Bank, Taipei Branch  
Enhancement Items and Improvement Plans for AML/CFT  
Internal Control System  
(基準日：109年12月31日)  
(Base Date: 31 December 2020)

應加強事項 Enhancement Items	改善措施 Improvement Plans	預定完成改善時間 Target Date
Nil/無		