

西班牙對外銀行台北分行 - 內部控制制度聲明書
Banco Bilbao Vizcaya Argentaria, S.A., Taipei Branch –
Statement of Internal Control

謹代表西班牙對外銀行台北分行聲明本銀行於102年1月1日至102年12月31日確實遵循「金融控股公司及銀行業內部控制及稽核制度實施辦法」、「外國銀行在台分行適用銀行內部控制及稽核制度實施辦法」說明對照表（暨金融監督管理委員會備查之風險導向稽核及內部控制制度）建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報總行及區域中心。經審慎評估，本年度各單位內部控制及法規遵循制度，均能確實有效執行。

On behalf of Banco Bilbao Vizcaya Argentaria, S.A., Taipei Branch (“the Bank”), we hereby certify that from 1 January 2013 to 31 December 2013, the Bank has duly complied with the “Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries”, and the Comparison Table of “Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries” for Foreign Bank Branches (and the risk-based approach approved by the Financial Supervisory Commission) in establishing the internal control system and implementing risk management procedures, and having internal auditors to undertake audit works with the results reported to the Headquarter and the Regional Office. After prudent evaluation, the Bank’s each department has implemented effective internal control and compliance systems during the year to which this statement relates.

謹致

金融監督管理委員會

The Statement is submitted to the Financial Supervisory Commission

聲明人

Statement by

台北分行經理：James Kao

(簽章)



Taipei Branch Manager

稽核：Derek Choi

(簽章)



Supervisor, Internal Audit Asia

法令遵循主管：Mike Wong

(簽章)



Compliance VP

中 華 民 國 103 年 3 月 3 日

西班牙對外銀行台北分行內部控制制度應加強事項及改善計畫

Banco Bilbao Vizcaya Argentaria, S.A., Taipei Branch

Enhancement Items and Improvement Plans under the Internal Control System

(基準日：102年12月31日)

(Base Date: 31 December 2013)

應加強事項 Enhancement Items	改善措施 Improvement Measures	預定完成改善時間 Target Date
不適用。本年度內部稽核部門所建議的高風險事項皆已執行完畢。 Not applicable. High Risk Audit Recommendations made by Internal Audit have been implemented.		