

西班牙對外銀行台北分行 - 內部控制制度聲明書
Banco Bilbao Vizcaya Argentaria, S.A., Taipei Branch -
Statement of Internal Control

謹代表西班牙對外銀行台北分行聲明本銀行於 104 年 1 月 1 日至 104 年 12 月 31 日確實遵循「金融控股公司及銀行業內部控制及稽核制度實施辦法」、「外國銀行在台分行適用銀行內部控制及稽核制度實施辦法」說明對照表（暨金融監督管理委員會備查之風險導向稽核及內部控制制度）建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報總行及區域中心。經審慎評估，本年度各單位內部控制及法規遵循制度，均能確實有效執行。

On behalf of Banco Bilbao Vizcaya Argentaria, S.A., Taipei Branch ("the Bank"), we hereby certify that from 1 January 2015 to 31 December 2015, the Bank has duly complied with the "Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries", and the Comparison Table of "Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries" for Foreign Bank Branches (and the risk-based approach approved by the Financial Supervisory Commission) in establishing the internal control system and implementing risk management procedures, and having internal auditors to undertake audit works with the results reported to the Headquarter and the Regional Office. After prudent evaluation, the Bank's each department has implemented effective internal control and compliance systems during the year to which this statement relates.

謹 致

金融監督管理委員會

The Statement is submitted to the Financial Supervisory Commission

聲明人

Statement by

台北分行經理：James Kao

Taipei Branch Manager

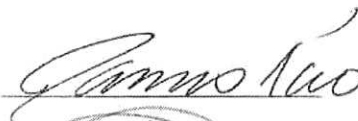
稽核：Pedro Alonso Rubio

Internal Audit Asia


法令遵循主管：David Pan

Compliance VP

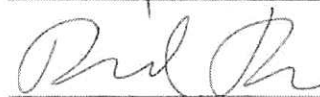
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105 年

3 月

17 日

日

西班牙對外銀行台北分行內部控制制度應加強事項及改善計畫

Banco Bilbao Vizcaya Argentaria, S.A., Taipei Branch

Enhancement Items and Improvement Plans under the Internal Control System

(基準日：104 年 12 月 31 日)

(Base Date: 31 December 2015)

應 加 強 事 項 Enhancement Items	改 善 措 施 Improvement Measures	預定完成改善時間 Target Date
不適用。 本年度並無內部稽核部門所建議之高風險事項。 Not applicable. No High Risk Audit Recommendations made by Internal Audit.		